

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Application No.	10/795,799
<i>(Multiple sheets used when necessary)</i>		Filing Date	March 8, 2004
SHEET 1 OF 3		First Named Inventor	Thomas J. Lochtefeld
		Art Unit	3711
		Examiner	Kien T Nguyen
		Attorney Docket No.	LOCHT.3CP3C3C1C

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
1		RE34407	10-12-1993	Frenzl	
2		586,718	07-20-1897	Wharton Jr.	
3		586,983	07-27-1897	Wharton Jr.	
4		1,536,875	05-01-1925	Bowen	
5		3,216,455	11-01-1965	Cornell, et al.	
6		3,557,559	01-26-1971	Barr	
7		3,565,491	02-01-1971	Frazoer	
8		3,853,067	12-10-1974	Bacon	
9		4,062,192	12-13-1977	Biewer	
10		4,197,815	04-15-1980	Brazelton	
11		4,339,122	07-13-1982	Croul	
12		4,836,521	6-6-1989	Barber	
13		5,236,280	08-17-1993	Lochtefeld	
14		5,267,812	12-07-1993	Suzuki et al.	
15		5,271,692	12-21-1993	Lochtefeld	
16		5,285,536	02-15-1994	Long	
17		5,342,145	08-30-1994	Cohen	
18		5,387,159	02-07-1995	Hilgert et al.	
19		5,393,170	02-28-1995	Lochtefeld	
20		5,401,117	03-28-1995	Lochtefeld	
21		5,564,859	10-15-1996	Lochtefeld	
22		5,621,925	04-22-1997	Bastenhof	
23		5,628,584	05-13-1997	Lochtefeld	
24		5,738,590	04-14-1998	Lochtefeld	
25		5,899,634	05-04-1999	Lochtefeld	
26		6,019,547	02-01-2000	Hill	
27		6,132,317	10-01-2000	Lochtefeld	
28		6,319,137	11-01-2001	Lochtefeld	

Examiner Signature	/Kien Nguyen/	Date Considered	08/03/2009
--------------------	---------------	-----------------	------------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Place a check mark in this area when an English-language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K.N./

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Application No.	10/795,799
(Multiple sheets used when necessary)		Filing Date	March 8, 2004
SHEET 2 OF 3		First Named Inventor	Thomas J. Lochtefeld
		Art Unit	3711
		Examiner	Kien T Nguyen
		Attorney Docket No.	LOCHTE.3CP3C3C1C

<b>U. S. PATENT DOCUMENTS</b>					
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
29		6,716,107	04-16-2004	Lochtefeld	

<b>FOREIGN PATENT DOCUMENTS</b>						
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>1</sup>
30		DE 3,445,976	12-17-1984	Stefano		
31		EP 298,853	01-11-1989	Cacoub		
32		EP 547,117	10-18-1995	Lochtefeld		
33		EP 629,139 B1	05-14-1997	Lochtefeld		
34		SU 682238	8-1-1979			
35		SW 0176,562	04-30-1935	Raber		
36		UK 375,684	06-01-1932			
37		UK 1,090,262	11-01-1967	Buckner		
38		UK 1,118,083	06-26-1968	Douglas		
39		WO 92/04,087	03-19-1992	Lochtefeld		
40		WO 93/17762	09-16-1993	Lochtefeld		

<b>NON PATENT LITERATURE DOCUMENTS</b>						
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T <sup>1</sup>
41		Surfer Magazine, "Conquering the Bay," Vol. 28, No. 5, May, 1987, p. 41				
42		Surfer Magazine, "Going Nowhere Fast," Vol. 25, No. 7, July, 1984, p. 129				
43		Surfer Magazine "Aussie Invents New Type Wave Machine," Vol. 25, No. 5, May, 1984, p. 25				
44		BAKHMETEFF, B, <u>Hydraulics of Open Channels</u> , McGraw-Hill, 1932, pp 292-294				
45		Report on the Filing or Determination of an Action regarding A Patent or Trademark. Case No. 08-CV-0928 W WMc; Wave Loch, Inc. v. American Wave Machines, Inc.				
46		Complaint For Patent Infringement. Case No. 08-CV-0928 W WMc; Wave Loch, Inc. v. American Wave Machines, Inc.				

Examiner Signature	/Kien Nguyen/	Date Considered	08/03/2009
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K.N./

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Application No.	10/795,799
<i>(Multiple sheets used when necessary)</i>		Filing Date	March 8, 2004
SHEET 3 OF 3		First Named Inventor	Thomas J. Lochtefeld
		Art Unit	3711
		Examiner	Kien T Nguyen
		Attorney Docket No.	LOCHT.3CP3C3C1C

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
	47	Defendant American Wave Machines, Inc.'s Answer and Counter Claims to Plaintiffs Wave Loch, Inc. and Light Wave, LP. Case No. 08-CV-0928 W WMC. Wave Loch, Inc. v. American Wave Machines, Inc.	
	48	Plaintiffs' Reply to Defendant's Counterclaims. Case No. 08-CV-0928 W WMC. Wave Loch, Inc. v. American Wave Machines, Inc.	
	49	Plaintiffs' Initial Disclosures Pursuant to Rule 26(a). Case No. 08-CV-0928 W WMC. Wave Loch, Inc. v. American Wave Machines, Inc.	
	50	Defendant/Counter-Claimant American Wave Machines's Initial Disclosures Pursuant to Federal Rule 26(a). Case No. 08-CV-0928 W WMC. Wave Loch, Inc. v. American Wave Machines, Inc.	
	51	Defendant American Wave Machines, Inc.'s Responses to Plaintiffs' Interrogatories (Nos. 1-15). Case No. 08-CV-0928 W WMC. Wave Loch, Inc. v. American Wave Machines, Inc.	
	52	Defendant American Wave Machines, Inc.'s Supplemental Responses to Plaintiffs' Interrogatories. Case No. 08-CV-0928 W WMC. Wave Loch, Inc. v. American Wave Machines, Inc.	
	53	Letter Dated January 10, 2006 from Bruce McFarland of American Wave Machines, Inc. to "To Whom it May Concern:" Re: "Evaluation of Lochtefeld and other patents as compared to the offerings of American Wave Machines, Inc.", Document Produced in Case No. 08-CV-0928 W WMC. Wave Loch, Inc. v. American Wave Machines, Inc.	
	54	Plaintiffs' Disclosure of Asserted Claims and Preliminary Infringement Contentions. Case No. 08-CV-0928 W WMC. Wave Loch, Inc. v. American Wave Machines, Inc.	
	55	Defendant American Wave Machines, Inc.'s Preliminary Invalidity Contentions. Case No. 08-CV-0928 W WMC. Wave Loch, Inc. v. American Wave Machines, Inc.	
	56	Plaintiffs' Preliminary Claim Constructions and Preliminary Identification of Extrinsic Evidence. Case No. 08-CV-0928 W WMC. Wave Loch, Inc. v. American Wave Machines, Inc.	
	57	Defendant American Wave Machines, Inc.'s Preliminary Claim Construction and Identification of Extrinsic Evidence. Case No. 08-CV-0928 MMA WMC. Wave Loch, Inc. v. American Wave Machines, Inc.	
	58	Plaintiffs' Responsive Claim Constructions and Identification of Extrinsic Evidence. Case No. 08-CV-0928 MMA WMC. Wave Loch, Inc. v. American Wave Machines, Inc.	
	59	Defendant American Wave Machines, Inc.'s Responsive Claim Construction and Identification of Extrinsic Evidence. Case No. 08-CV-0928 W WMC. Wave Loch, Inc. v. American Wave Machines, Inc.	
	60	Joint Claim Construction Chart. Case No. 08-CV-0928 MMA WMC. Wave Loch, Inc. v. American Wave Machines, Inc.	
	61	Order Granting Defendant's Motion to Stay Proceeding. Case No. 08-CV-0928 MMA WMC. Wave Loch, Inc. v. American Wave Machines, Inc.	

6972145 // 050709

Examiner Signature	/Kien Nguyen/	Date Considered	08/03/2009
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K.N./